

U.S. Patent No.: 7,227,190 B2
Serial No.: 10/529,254
Docket No.: PHCF-03064US

1

DPE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No. 7,227,190 B2 dated June 5, 2007.

Takemasa YASUKAWA, et al.

Serial No.: 10/529,254 Group Art Unit: 2823

Filed: March 25, 2005 Examiner: KEBEDE, BROOK

For: **WHITE LIGHT EMITTING DEVICE**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
MAIL STOP PETITIONS

SECOND PETITION TO ACCEPT REQUEST FOR, OR, IN THE ALTERNATIVE,
PETITION FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R.

3.81(b)

Sir:

Further to the First Petition filed December 16, 2008, and in response to the Office Communication (copy attached), the undersigned again respectfully requests and/or petitions for a Certificate of Correction for the above-identified patent. In particular, it is requested that the names and addresses of the second to fourth (2nd to 4th) assignees be added as set forth in the Original Assignment, and as shown on page 2 of the original Recordation Form Cover Sheet dated March 25, 2005 (copy attached), as follows:

(73) Assignee: TOYODA GOSEI CO., Ltd.
Nishikasugai-gun, Aichi (JP)

TRIDONIC OPTOELECTRONICS GMBH
Jennersdorf, Burgenland (AT)

LITEC GBR
Greifswald (DE)

LEUCHTSTOFFWERK BREITUNGEN GMBH
Breitungen (DE)

U.S. Patent No.: 7,227,190 B2
Serial No.: 10/529,254
Docket No.: PHCF-03064US
HIR.141

A Transmittal Form PTOL-85B is also attached.

Again, Applicant affirmatively states that the assignment was submitted for recordation before issuance of the patent.

A Request for Certificate of Correction attached hereto.

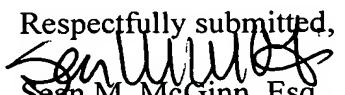
A processing fee for Certificate of Correction (\$140.00) was paid on November 19, 2008, with the check No.1524 (copy attached). As noted, a copy of a petition fee under 37 CFR 1.17(i) of \$130.00 was paid on December 16, 2008, with the check No. 1540 (copy and confirmation from the bank is attached to prove that the check No. 1540 has been cashed by the USPTO and cleared on December 19, 2008) and a date-stamped post card receipt dated December 16, 2008, are attached hereto.

Please forward a Certificate of Correction to the address shown below. If there are any questions on this matter, please direct all telephone calls to the number shown below.

No fee is believed due as they are believed to have already been paid with the First Petition/Request.

The Commissioner is authorized charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Date: 10/15/09
**MCGINN INTELLECTUAL PROPERTY
LAW GROUP, PLLC**
8321 Old Courthouse Road, Suite 200
Vienna, Virginia 22182-3817
(703) 761-4100
Customer No. 21254

Respectfully submitted,

Sean M. McGinn, Esq.
Registration No. 34,386

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,227,190 B2

DATED : June 5, 2007

INVENTOR(S) : Takemasa YASUKAWA, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Please add the names and addresses of the second to fourth (2nd to 4th) assignees as follows:

(73) Assignee: **TOYODA GOSEI CO., Ltd.**
Nishikasugai-gun, Aichi (JP)

TRIDONIC OPTOELECTRONICS GMBH
Jennersdorf, Burgenland, (AT)

LITEC GBR
Greifswald, (DE)

LEUCHTSTOFFWERK BREITUNGEN GMBH
Breitungen, (DE)

MAILING ADDRESS OF SENDER (Please do not use customer number)

PATENT NO. — 7,227,190 B2 —

No. of additional copies



Sean M. McGinn, Esq.
McGinn Intellectual Property Law Group, PLLC
8321 Old Courthouse Road, Suite 200
Vienna, VA 22182-3817
(703) 761-4100
Customer No. 21254

OCT 15 2009

MCGINN IP LAW GROUP, PLLC
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12/15/08	Commissioners Patents & Trademarks	1540
	DESCRIPTION	\$ 130.00

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 13-10-0861
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HTR. 141

Attorney's Post Card Filing Receipt
Serial Number: 19 529,259 Papers Filed On: 12/16/08

PATENT NO. 7,227,190 B2

Attorney's Docket Number: PHCE-03064 US Patent Trademark

Applicant's Name: YASUKAWA, et al. Application Filing Date: 3/25/05
Papers Filed Herewith:

Amendment Request for Extension of Time CPA Request
 Notice of Appeal Appeal Brief (in triplicate) Reply Brief
 IDS 1449 Form w/ Documents Priority Document(s)
 Assignment Recordation Cover Sheet Formal Drawings
 Drawing Corrections Issue Fee Transmittal Missing Parts
 Other Certificate of Correction

Fees Filed Herewith: \$ 130.00 Check Charge Deposit Account: #1540

Hand Delivered

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No. 7,227,190 B2 dated June 5, 2007.

Takemasa YASUKAWA, et al.

Serial No.: 10/529,254 OCT 15 2009 Group Art Unit: 2823
Filed: March 25, 2005 Examiner: KEBEDE, BROOK

For: WHITE LIGHT EMITTING DEVICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
MAIL STOP PETITIONS

**PETITION TO ACCEPT REQUEST FOR, OR, IN THE ALTERNATIVE, PETITION
FOR, CERTIFICATE OF CORRECTION UNDER 37 C.F.R 3.81(b)**

Sir:

In response to the Office Communication (copy attached), the undersigned respectfully petitions that a Certificate of Correction be granted for the above-identified patent. In particular, it is requested that the names and addresses of the second to fourth (2nd to 4th) assignees be added as set forth in the Original Assignment, and as shown on page 2 of the original Recordation Form Cover Sheet dated March 25, 2005 (copy attached), as follows:

(73) Assignee: TOYODA GOSEI CO., Ltd.
Nishikasugai-gun, Aichi (JP)

TRIDONIC OPTOELECTRONICS GMBH
Jennersdorf, Burgenland (AT)

LITEC GBR
Greifswald (DE)

LEUCHTSTOFFWERK BREITUNGEN GMBH
Breitungen (DE)

A Transmittal Form PTOL-85B is also attached.

Thus, Applicant affirmatively states that the assignment was submitted for recordation
before issuance of the patent.

A Request for Certificate of Correction attached hereto.

A processing fee for Certificate of Correction (\$100.00) was paid on November 19, 2008, with the check No.1524 (copy attached). Further, a petition fee under 37 CFR 1.17(i) of \$130.00 is attached hereto.

Please forward a Certificate of Correction to the address shown below. If there are any questions on this matter, please direct all telephone calls to the number shown below.

The Commissioner is authorized charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Sean M. McGinn, Esq.
Registration No. 34,386

Date: 12/16/08
MCGINN INTELLECTUAL PROPERTY
LAW GROUP, PLLC
8321 Old Courthouse Road, Suite 200
Vienna, Virginia 22182-3817
(703) 761-4100
Customer No. 21254



UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 15 2008
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Alexandria, VA 22313-1450
www.uspto.gov

McGINN IP LAW, PLLC

Patent No. : 7,227,190 B2
Serial No. : 10/529,254
Inventor(s) : Yasukawa et al.
Issued : June 5, 2007
Title : **WHITE LIGHT EMITTING DEVICE**
Docket No. : PTGF-03064US
Re: Request for Reconsideration

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of 37 CFR 1.322 and/or 1.323.

A petition under 37 CFR 3.81 must be filed and granted to fulfill your request, to date, there is no petition on record to consider your request.

Furthermore, Assignees' and/ or Co-assignees' names and addresses (assignment data) printed in a patent are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Fee(s) Transmittal Form PTOL-85B. After payment of the issue fee, correction of assignment data submitted on the PTOL-85B can only be done by Certificate of Correction under 37 CFR 1.323, which requires petition under 37 CFR 3.81(b).

A request for a patent to be corrected to state the name of the assignee must:

- A. state that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent;
- B. include a request for a certificate of correction under 37 CFR 1.323 along with the fee set forth in 37 CFR 1.20(a); and
- C. include the processing fee set forth in 37 CFR 1.17(i).

If the request is granted, Certificates of Correction Branch will be notified that a Certificate of Correction may be issued.

See Manual of Patent Examining Procedure, Section 1481.01 (Rev. 3) (Oct. 2005).

Applicant has not included items B and or C above, accordingly, the request for Certificate of Correction to add or change the assignee data is dismissed.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail:

Mail Stop PETITIONS
Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450

By hand: Customer Service Window
Mail Stop Petitions
Randolph Building
401 Dulany Street
Alexandria, VA 22314

By fax: 571-273-8300 ATTN: Office of Petitions

If, the petition under 37 CFR 1.182 (required fee currently \$130), is filed and granted, the patentee would be entitled to a certificate of correction under 37 CFR 1.323 (required fee currently \$100), which has been received.

Ernest C. White, *LIE*
For Mary F. Diggs (703) 308-9390 x 125
Decisions & Certificates
of Correction Branch
(703) 308-9390 x 122

MCGINN INTELLECTUAL PROPERTY LAW GROUP, PLLC
8321 OLD COURTHOUSE ROAD
SUITE 200
VIENNA VA 22182-3817

ecw

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
POBA/REV03

OCT 15 2009

08-09-2005

SHEET

10/529254

DEPARTMENT OF COMMERCE
Patent and Trademark Office

6 Rec'd PCT/PTO 25 MAR 2005

Send the attached original documents or copy thereof.

Tab settings → → →

To the Director of the United States Patent and Trademark Office

103058224

1. Name of conveying party(ies):

1) Takemasa YASUKAWA
2) Shota SHIMONISHI
3) Koichi OTA

Additional names(s) of conveying party(ies)

 Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: November 22, 2004

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: November 22, 2004

Patent Application No. Filing date

04/01/2005 MKAYPAGH 00000097 10529254

05 FC:8021

40.00 0P

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn, Esq.

Registration No. 34,386

Address: McGinn & Gibb, PLLC

8321 Old Courthouse Road

Suite 200

City: Vienna State/Prov.: VA

Country: USA ZIP: 22182-3817

 Yes No

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

 Enclosed - Any excess or insufficiency should be credited or debited to deposit account Authorized to be charged to deposit account

8. Deposit account number:

50-0481

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean M. McGinn, Esq.

Name of Person Signing

Signature

March 25, 2005

Date

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
 Mail Stop Assignment Recordation Services
 Director of the United States Patent and Trademark Office
 P.O. Box 1450, Alexandria, VA 22313-1450

RECORDATION FORM COVER SHEET

2. Name and address of receiving party(ies):

Name: TRIDONIC OPTOELECTRONICS GMBH

Address: Technologiepark 10, A-8380 Jennersdorf

City: Burgenland State/Prov.: _____

Country: Austria Zip: _____

3. Name and address of receiving party(ies):

Name: LITEC GBR

Address: 17489 Greifswald, Brandteichstr. 19,

City: _____ State/Prov.: _____

Country: Germany Zip: _____

4. Name and address of receiving party(ies):

Name: LEUCHTSTOFFWERK BREITUNGEN GMBH

Address: Lange Soemme 17, 98597 Breitungen,

City: _____ State/Prov.: _____

Country: Germany Zip: _____

ASSIGNMENT OF APPLICATION FOR PATENT**WHEREAS**

NAME AND ADDRESS
OF ASSIGNORS

Takemasa YASUKAWA, Shota SHIMONISHI and Koichi OTA
c/o TOYODA GOSEI CO., LTD.

1, Aza Nagahata, Oaza Ochiai, Haruhi-cho, Nishikasugai-gun,
Aichi, 452-8564, Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have invented
and own a certain new and useful invention entitled:

TITLE OF INVENTION:

WHITE LIGHT EMITTING DEVICE

WHEREAS

FULL NAME AND ADDRESS
OF ASSIGNEES

TOYODA GOSEI CO., LTD.

1, Aza Nagahata, Oaza Ochiai, Haruhi-cho, Nishikasugai-gun,
Aichi, 452-8564, Japan

TRIDONIC OPTOELECTRONICS GMBH

Technologiepark 10, A-8380 Jennersdorf, Burgenland, Austria

LITEC GBR

17489 Greifswald, Brandteichstr. 19, Germany

LEUCHTSTOFFWERK BREITUNGEN GMBH

Lange Soemme 17, 98597 Breitungen, Germany

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the
entire right, title, and interest in, to and under said invention and the
United States Letters Patent to be obtained therefor:

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be
it known that in consideration of the payment of ASSIGNEE to
ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is
hereby acknowledged, and for other good and valuable consideration,
ASSIGNOR, by these presents, hereby sells, assigns and transfers to
ASSIGNEE the entire and exclusive right, title and interest to said
invention and all Letters Patent of the United States to be obtained
therefor on said application or any continuation, division, renewal,
substitute or reissue thereof for the full term or terms for which the same
may be granted.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents
and Trademarks to issue said Letters Patent of the
United States Patent obtained therefor on said application or any
continuation, division, renewal, substitute or reissue thereof for the
full term or terms to said ASSIGNEE, of the entire right, title, and
interest in and to the same, for his sole use and behoof, and for the

use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

DATE OF SIGNING

IN WITNESS WHEREOF, I/We have hereunto set

hand and seal this 22nd day of November, 2004.

SIGNATURE

By: Takemasa Yasukawa
Takemasa YASUKAWA

SIGNATURE

By: Shota Shimomishi
Shota SHIMONISHI

SIGNATURE

By: Koichi Ota
Koichi OTA

WITNESSES

Kyo Soga

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

or **Fax** (571)-273-2885



INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

21254

7590

12/28/2006

MCGINN INTELLECTUAL PROPERTY LAW GROUP, PLLC
8321 OLD COURTHOUSE ROAD
SUITE 200
VIENNA, VA 22182-3817

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Hand-delivered

(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/529,254	03/25/2005	Takemasa Yasukawa	PTGF-03064US	4009

TITLE OF INVENTION: WHITE LIGHT EMITTING DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	03/28/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS	DATE	ATTY/DOCKET NO.	CONFIRMATION NO.
KEBEDE, BROOK	2823	257-079000	03/28/2007	MAHMED2	08888890 10529254

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

2. For printing on the patent front page (37 CFR 1.364)

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

3. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

4. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

5. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

1 McGinn 1400.00 OP

Group, PLLC 300.00 OP

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Toyoda Gosei Co., Ltd.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Nishikasugai-gun, Aichi, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

4a. The following fee(s) are submitted:

Issue Fee
 Publication Fee (No small entity discount permitted)
 Advance Order - # of Copies 5

4b. Payment of Fee(s): (Please first resupply any previously paid issue fee shown above)

A check is enclosed.
 Payment by credit card. Form PTO-2038 is attached.
 The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0481 (exp 06/2008/06/2009).

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

Deficiencies only

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date March 27, 2007

Typed or printed name

Registration No. 41,018

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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